

*IN THE UNITED STATES PATENT AND TRADEMARK OFFICE*

Applicant: Kazuo KOBAYASHI et al.  
Title: *METHYLOTROPHIC YEAST PRODUCING MAMMALIAN TYPE SUGAR CHAIN*  
Appl. No.: 10/511,436  
International Filing Date: 04/28/2003  
371(c) Date: 08/16/2005  
Examiner: Nancy Treptow Vogel  
Art Unit: 1636  
Confirmation Number: 8917

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

**RELEVANCE OF EACH DOCUMENT**

Any document listed on the attached PTO/SB/08 was cited as being relevant during the prosecution of the corresponding Japanese application. A copy of the Japanese Office Action is attached.

Reference E1, JP 2001-501475 corresponds to WO 98/14600 previously disclosed in the subject application.

Reference E2, JP 2000-78978 corresponds to WO 00/14259 previously disclosed in the subject application.

Reference E3, JP 6-506117 corresponds to WO 92-17595 previously disclosed in the subject application.

Reference E4, JP 2-419 corresponds to EP 0 314 096 B1 previously disclosed in the subject application.

Reference E5, JP 61-92569 corresponds to EP 0 173 378 B1 previously disclosed in the subject application.

Reference E6, JP 2003-503030 corresponds to WO 00/78978 A1 previously disclosed in the subject application.

An English-language counterpart of the foreign-language documents has been provided where readily available. The absence of a translation or an English-language counterpart document does not relieve the PTO from its duty to consider any submitted document (37 CFR §1.98 and MPEP§609).

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

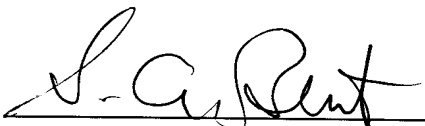
STATEMENT

The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this supplemental information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

Although Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account No. 19-0741.

Respectfully submitted,

Date 3 August 2009

By 

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